

GP 2751

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jay S. Walker et al.

For: SYSTEM AND METHOD FOR
OFFERING MULTIPLE
PRODUCTS

Examiner: Not Yet Assigned

Serial No.: 09/283,389

Group Art Unit: 2751

Filing Date: April 1, 1999

Docket No.: WD2-98-099

Assistant Commissioner for Patents
Washington, D.C. 20231



TC 2700 MAIL ROOM

JUL 30 1999

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TRANSMITTAL LETTER

Sir:

Applicants hereby submit the following documents for the above-identified patent application:

1. Revocation and Appointment of Power of Attorney;
2. Copy of Recorded Assignment document; and
3. Return Receipt Postcard.

The Commissioner is authorized to charge any further required fees, or to credit any overpayment, to Deposit Account No. 50-0271. A duplicate copy of this authorization is attached for such purpose.

Respectfully submitted,

Dean Alderucci
Attorney for Applicants
PTO Reg. No. 40,484
Walker Digital Corporation
One High Ridge Park
Stamford, CT 06905

July 27, 1999

Date

Certificate of Mailing

I hereby certify that this correspondence is being sent via first class mail in an envelope with sufficient postage addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on July 27, 1999.

Amy E. Sauter

Name of Person Making Deposit

Signature

July 27, 1999

Date

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Washington, D.C. 20231



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REVOCATION AND APPOINTMENT OF POWER OF ATTORNEY

Sir:

Walker Digital Corporation, the sole assignee and owner of the entire right, title and interest in the above-identified patent application, hereby revokes all previous powers of attorney and hereby appoints Dean Alderucci (PTO Reg. No. 40,484), Patrick J. Buckley (PTO Reg. No. 40,928), Steven M. Santisi (PTO Reg. No. 40,157), and Kurt Maschoff (PTO Reg. No. 38,235) as attorneys of record, all of Walker Digital Corporation located at One High Ridge Park, Stamford, Connecticut 06905-1326, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Please address all written correspondence to:

Walker Digital Corporation
One High Ridge Park
Stamford, CT 06905-1326
Phone (203) 905-6500
Fax (203) 595-8266

Certificate Under 37 C.F.R. § 3.73(b)

Walker Digital Corporation, a corporation organized and existing under the laws of the state of Connecticut, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

- A. ☒ An Assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the U.S. Patent and Trademark Office on April 1, 1999, at Reel 9911, Frame 0933, or for which a copy thereof is attached.

B. ☐ A chain of title from the inventor(s) of the patent application identified above to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____,
Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
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Frame _____, or for which a copy thereof is attached.

C. ☐ Copies of the assignments or other documents in the chain of title are attached.


The undersigned has reviewed all the documents in the chain of title of the patent application identified above and to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application identified above or any patent issuing thereon.

Walker Digital Corporation

Dated: 27/JULY/1999

By 
Jay S. Walker,
President of Walker Digital Corporation,
as General Partner of Walker Asset
Management Limited Partnership



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

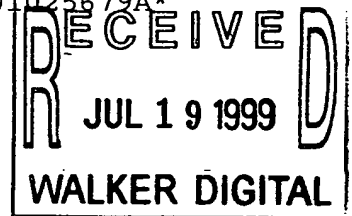
JULY 01, 1999

PTAS

WALKER DIGITAL CORPORATION
DEAN ALDERUCCI
INTELLECTUAL PROPERTY DEPARTMENT
FIVE HIGH RIDGE PARK
STAMFORD, CT 06905-1326



COPY



UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/01/1999

REEL/FRAME: 9911/0933
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
WALKER, JAY S.

DOC DATE: 03/31/1999

ASSIGNOR:
VAN LUCHENE, ANDREW S.

DOC DATE: 03/31/1999

ASSIGNOR:
O'SHEA, DEIRDRE

DOC DATE: 04/01/1999

ASSIGNEE:
WALKER DIGITAL CORPORATION
FIVE HIGH RIDGE PARK
STAMFORD, CONNECTICUT 06905-1326

SERIAL NUMBER: 09283389
PATENT NUMBER:

FILING DATE: 04/01/1999
ISSUE DATE:

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9911/0933 PAGE 2

MARY BENTON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

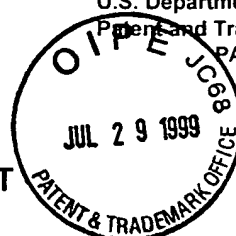
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05-03-1999



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U.S. Department of Commerce
Patent and Trademark Office
PATENTRECORDATION FORM COVER SHEET
PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

☒ New☐ Resubmission (Non-Recordation)

Document ID#

☐ Correction of PTO Error

Reel #

Frame #

Corrective Document

☐ Reel #

Frame #

Conveyance Type

☒ Assignment☐ Security Agreement☐ License☐ Change of Name☐ Merger☐ Other

U.S. Government

(For Use ONLY by U.S. Government Agencies)

☐ Departmental File☐ Secret File

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Name (line 1) Jay S. WALKER

Execution Date
Month Day Year
3-31-99

Name (line 2)

Second Party

Name (line 1) Andrew S. VAN LUCHENE

Execution Date
Month Day Year
3-31-99

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Walker Digital Corporation

Name (line 2)

Address (line 1) Five High Ridge Park

Address (line 2)

Address (line 3) Stamford

City

Connecticut/USA

State/Country

06905-1326

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only

Name

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Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

Correspondent Name and Address

Area Code and Telephone Number (203) 705-3006

Name Dean Alderucci

Address (line 1) Walker Digital Corporation

Address (line 2) Intellectual Property Department

Address (line 3) Five High Ridge Park

Address (line 4) Stamford, Connecticut 06905-1326

Pages

Enter the total number of pages of the attached conveyance document
including any attachments.

3

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application
was

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.PCT PCT PCT
PCT PCT PCT

Number of Properties

Enter the total number of properties involved.

#

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:

Enclosed ☐Deposit Account ☒

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the

Deposit Account Number:

50-0271

Authorization to charge additional fees:

Yes ☒No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any
attached copy is a true copy of the original document. Charges to deposit account are authorized, as
indicated herein.

Dean Alderucci

Name of Person Signing

Signature

April /, 1999

Date

RECORDATION FORM COVER SHEET
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PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Deirdre O'SHEA

Execution Date
Month Day Year
4-1-99

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Name (line 1)

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1)

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Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

Assignment of Rights, Title and Interest in Invention
(Multiple inventors; single assignee)

Docket No.
WD2-98-099

This is an Assignment of the following rights, title and interest: (check all that apply):

☒ *United States of America rights, title and interest in the invention*

☒ *Foreign rights, title and interest in the invention*

☐ *United States Patent Application Serial No.* _____

Date of Execution: _____

Date of Filing: _____

☐ *United States Provisional Patent Application Serial No.* _____

☐ *United States Patent No(s).* _____

☐ *International (PCT) Patent Application Serial No.* _____

☐ *Other (specify)* _____

Title of the Invention

SYSTEM AND METHOD FOR OFFERING MULTIPLE PRODUCTS

Inventors (assignors)

<i>Name</i>	<i>Address</i>
Jay S. WALKER	124 Spectacle Lane, Ridgefield, Connecticut 06877
Andrew S. VAN LUCHENE	9 Greenwood Place, Norwalk, Connecticut 06854
Deirdre O'SHEA	10 Manhattan Avenue, Apt. 2A, New York, NY 10025

Assignee

<i>Name</i>	<i>Address</i>
Walker Digital Corporation	Five High Ridge Park, Stamford, Connecticut 06905-1326

**Assignment of Rights, Title and Interest in Invention
(Multiple inventors; single assignee)**

Docket No.
WD2-98-099

Whereas, we, the above-identified Inventors, have invented certain new and useful improvements in the Invention identified above and described in the above-identified patent application(s) and/or patent(s) (hereinafter referred to as "Invention");

And, whereas we desire to assign our above-identified rights, title and interest in the Invention to the above-identified Assignee;

Now, this indenture witnesseth, that for good and valuable consideration, the receipt whereof is hereby acknowledged;

We hereby assign, sell and transfer our above-identified rights, title and interest in said Invention, said application(s) as identified above, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted or have granted for said Invention, and in and to any and all reissues and reexaminations thereof, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;

And we hereby authorize and request the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for said Invention to said Assignee, as assignee of the whole right, title and interest thereto;

And we further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense.

Inventors' Signatures (if Notarization is desired, do not sign here and proceed to next page)

<i>Name</i>	<i>Signature/Date</i>
Jay S. WALKER	
Andrew S. VAN LUCHENE	
Deirdre O'SHEA	

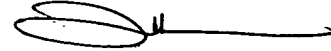
Assignment of Rights, Title and Interest in Invention
(Multiple inventors; single assignee)

Docket No.
WD2-98-099

Notarization

(Although notarization is not necessary, it will be considered prima facie evidence of execution pursuant to 35 U.S.C. 261.)

Executed this 31st day of March, in the year 1999
at Stamford, Connecticut



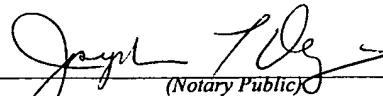
(Signature of Inventor)

State of Connecticut

County of Fairfield

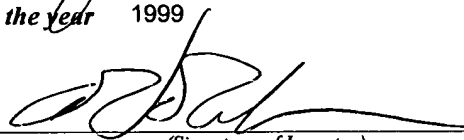
Before me personally appeared Jay S. WALKER
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to
execute the same this 31st day of March, in the year 1999

JOSEPHINE L. DEIGNAN
NOTARY PUBLIC
MY COMMISSION EXPIRES JUNE 30, 2001



(Notary Public)

Executed this day of March, in the year 1999
at Samford, Connecticut



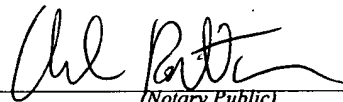
(Signature of Inventor)

State of Connecticut

County of Fairfield

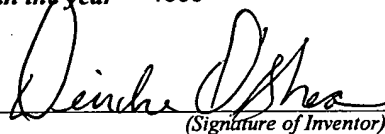
Before me personally appeared Andrew S. VAN LUCHENE
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to
execute the same this 31st day of March, in the year 1999

CHARLES A. RATTNER
NOTARY PUBLIC
MY COMMISSION EXPIRES JUNE 30, 2003



(Notary Public)

Executed this 1st day of ~~March~~ April 1st, in the year 1999
at Stamford, Connecticut



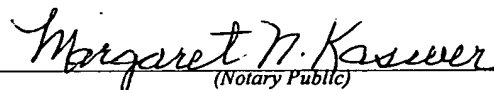
(Signature of Inventor)

State of Connecticut

County of Fairfield

Before me personally appeared Deirdre O'SHEA
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to
execute the same this 1st day of ~~March~~ April, in the year 1999

MARGARET N. KASWER
NOTARY PUBLIC
MY COMMISSION EXPIRES DEC. 31, 1999



(Notary Public)